

## Internal Audit Work 2014/15 (To 31 March 2015) – Summary

Department	Main Audit Review	Finalised	Assurance	Recommendations			
				R	A	G	Total
City of London Police	City Police – IT PBX Resilience	February 2015	Red	3	1	-	4
City of London Police	ICT – DR Assurance	February 2015	Red	1	-	-	1
Town Clerks / Chamberlain	Corporate Wide Temporary Staff	DRAFT (March 2015)	Red	1	8	1	10
Corporate	Compliance with Corporate Project Management Procedures	May 2014	Amber	-	3	1	4
Corporate	Payment Card Industry Data Security Standards (PCIDSS) Review	November 2014	Amber	-	3	2	5
Corporate	Data in Transit	April 2015	Amber	-	3	3	6
Chamberlain's	City Procurement – Assisted Purchasing	November 2014	Amber	-	3	5	8
City Surveyor's	Project Cost and Progress Monitoring	DRAFT (February 2015)	Amber	-	3	2	5
Community and Children's Services	Housing Estate Offices	October	Amber	-	8	37	45
Community and Children's Services	Public Health Contracts	June 2014	Amber	-	2	3	5
Community and Children's Services	Projects – Variation Order and Change Control	December 2014	Amber	-	3	2	5
Community and Children's Services	Major Project Cost and Progress Monitoring	DRAFT (May 2015)	Amber	-	4	1	5
Community and Children's Services	Debtors	DRAFT (April 2015)	Amber	-	3	5	8
Mansion House	Income	July 2014	Amber	-	7	5	12
Markets and Consumer Protection	Trading Standards	February 2015	Amber	-	2	5	7
Markets and Consumer Protection	Markets Local Contract Management	March 2015	Amber	-	3	2	5
Open Spaces	Cemeteries & Crematorium ICT review	May 2014	Amber	-	4	4	8
Open Spaces	Sale of Goods	March 2015	Amber	-	2	8	10
Open Spaces	Lodges	DRAFT (March 2015)	Amber	-	4	5	9
Town Clerk's	Health and Safety – Corporate Wide	DRAFT (April 2015)	Amber	-	4	1	5
Built Environment	CSA – CDM (Construction Design and Management) Health and Safety	May 2015	Amber	-	2	1	3

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City of London Police	Police Overtime	DRAFT (March 2015)	Amber	1	2	1	4
Guildhall School of Music and Drama	SITS Management System	DRAFT (April 2015)	Amber	-	2	2	4
Corporate	Data Quality	August 2014	Green	-	1	3	4
Corporate	COL / Agilisys Telecommunications Assurance Review (Public Branch Exchange Fraud)	December 2014	Green	-	2	6	8
Corporate	ICT Agilisys Managed Service	January 2015	Green	-	-	5	5
Corporate	Agilisys Service Desk	March 2015	Green	-	1	4	5
Corporate	Centralised Purchase Ordering and Invoice Payment	December 2014	Green	-	-	6	6
Corporate	Flowcharting of Key Financial Systems	Draft	Green	-	-	1	1
Barbican Centre	Projects – Interim Valuations	September 2014	Green	-	2	2	4
Barbican Centre	Projects – Extensions of Time	September 2014	Green	-	1	2	3
Barbican Centre	Telecommunications Assurance Review – PBX (Public Branch Exchange Fraud)	Dec 2014	Green	-	2	5	7
Barbican Centre	ICT Annual Assurance	July 2014	Green	-	-	-	-
Built Environment	Projects - Variation Order and Change Control	July 2014	Green	-	-	2	2
Built Environment	TfL Highways Funding	January 2015	Green	-	-	1	1
Built Environment	Planning Applications	October 2014	Green	-	-	2	2
Chamberlain's	Use of Spreadsheets	May 2014	Green	-	-	1	1
Chamberlain's	Payroll	April 2014	Green	-	-	4	4
Chamberlain's	Banking - Corporate Responsibility	September 2014	Green	-	-	2	2
Chamberlain's	City procurement – Centralised Purchase Ordering and Invoice Payment	December 2014	Green	-	0	6	6
Chamberlain's	Insurance	DRAFT (January 2015)	Green	-	1	4	5
City Surveyor's	Projects – Interim Valuations	September 2014	Green	-	2	1	3
Community and Children's Services	Projects – Interim Valuations	September 2014	Green	-	1	2	3
Community and Children's Services	Asylum Seekers – Assessment, Monitoring and Payment	August 2014	Green	-	-	8	8
Community and Children's Services	Almshouses	May 2015	Green	-	2	7	9
Community and Children's Services	Nursery Grants	December 2014	Green	-	-	3	3

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Comptroller & City Solicitors	Legal Consultation	May 2014	Green	-	1	5	6
Culture, Heritage and Libraries	Tower Bridge Ticketing System	November 2014	Green	-	-	5	5
Culture, Heritage and Libraries	London Metropolitan Archive - Security of Physical Assets and Collections	April 2014	Green	-	2	2	4
Markets and Consumer Protection	Market Leases Due Diligence	June 2014	Green	-	1	1	2
Markets and Consumer Protection	Billingsgate Car Park	July 2014	Green	-	1	3	4
Markets and Consumer Protection	Financial Management	May 2015	Green	-	-	1	1
Markets and Consumer Protection	Heathrow Animal Reception Centre – Compliance Audit: Processing Animals (including associated income checks)	March 2015	Green	-	-	-	0
Open Spaces	Cemeteries & Crematorium- Fuel Issue	August 2014	n/a	-	2	5	7
Open Spaces	West Ham Park Nurseries	December 2014	Green	-	-	1	1
Open Spaces	Functions and Guildhall Lettings Review	May 2014	Green	-	-	6	6
Open Spaces	Heritage Lottery Fund	April 2015	Green	-	-	1	1
Open Spaces	Catering Facilities	DRAFT (March 2015)	Green	0	1	1	2
Town Clerk	Data Protection and FOI	March 2015	Green	-	2	6	8
Town Clerk	EDO – Foreign Offices	August 2014	Green	-	2	4	6
Town Clerk	PRO – Communication Strategy	July 2014	Green	-	1	3	4
Town Clerk	Finance Committee Grants	July 2014	Green	-	-	3	3
Town Clerk	Police and Resources Committee – Policy Initiatives Fund and Contingency Budget	July 2014	Green	-	2	2	4
Town Clerk	Member Declaration of Interests and Related Party Transactions	August 2014	Green	-	-	2	2
Town Clerk	Performance Development Framework	May 2015	Green	-	-	-	0
City of London Police	Fees and Charges	July 2014	Green	-	1	-	1
City of London Police	Third Party Payments	May 2014	Green	-	-	4	4
City of London Police	Police Vehicle Fleet - VFM	July 2014	Green	-	1	1	2
City of London Police	Project Office	November 2014	Green	-	-	1	1
City of London Police	Compensation Claims	November 2014	Green	-	-	3	3
City of London Police	Telecommunications Assurance Review – PBX (Public Branch Exchange) - Fraud	February 2015	Green	-	2	5	7

				Recommendations			
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City of London Police	Police Officer's Pensions	January 2015	Green	-	-	-	0
City of London Police	IT Business Continuity Management	March 2015	Green	-	1	5	6
City of London Police	HMIC Assurance Review	October 2014	Green	-	-	-	0
City of London Police	Informant Funds	DRAFT (March 2015)	Green	-	-	-	0
Guildhall School of Music and Drama	Sundial Court – Expenditure and Income	October 2014	Green	-	1	2	3
Guildhall School of Music and Drama	Professor Contracts	June 2014	Green	-	1	1	2
Guildhall School of Music and Drama	Student Funding (Scholarships)	DRAFT (March 2015)	Green	-	1	3	4
Guildhall School of Music and Drama	Asset Management (Musical Instruments)	DRAFT (April 2015)	Green	-	1	8	9
Guildhall School of Music and Drama	Temporary Staff and Professional Fees	DRAFT (April 2015)	Green	-	-	-	0
City of London Schools	Teaching and Non-Teaching Staff Recruitment (City of London School for Girls)	June 2014	Green	-	-	1	1